## 5.07 ELECTRONIC TRANSMISSION FILINGS (E-FILING)

### A. Implementation

- 1. The court's goal is to make e-Filing available and required in all cases and case types, with limited exceptions. However, to assure a smooth transition between e-Filing and paper filing, e-Filing will be available and required in some case types prior to others. The Clerk shall maintain on the Clerk's website a list of all case types that are required to be e-Filed. Counsel and persons representing themselves pro se shall consult the Clerk's website before initiating a case to determine whether e-Filing is required. If required, all documents to be filed are subject to the requirements, exceptions and limitations set forth in these rules.
- 2. Once e-Filing is implemented for a particular case type, all pleadings, motions, briefs, memoranda of law, deposition transcripts, transcripts of proceedings, orders and other documents submitted in a mandatory e-File case type shall be submitted electronically through the e-Filing Portal. Subject to applicable exceptions, the Clerk shall not accept for filing or file any document in paper form in mandatory e-Filing case types.
- 3. Fax filings will not be accepted for cases for which e-Filing has been implemented. Any documents for such cases submitted by fax will be discarded and not filed, without notice to the submitting party.

### B. Users/Registration

- 1. All persons filing documents in the case types designated as mandatory e-File cases shall be registered as users in the e-Filing Portal.
  - a. All licensed attorneys are expected to use the e-Filing Portal. Any documents received in person, by mail, or fax from a licensed attorney will be returned to the attorney, unfiled, with instructions on how to register as a user of the E-Filing Portal and how to submit documents electronically. No such documents shall be considered filed until they are submitted through the e-Filing Portal.

### b.Pro Se Parties

- i. Pro se parties filing in person who are not already registered users shall be directed to a computer station in the Clerk of Courts' office to allow them to register as a user of the e-Filing Portal. The Clerk's office will provide assistance to the filer during the registration process, if needed, but the Clerk shall not be designated as the filer of any of the documents. Once the filer becomes a registered user, his/her documents shall be submitted through the e-Filing Portal.
- ii. If the Clerk receives documents via US mail to be filed from a prose party, who is not a registered user, the Clerk's office will register the party as a user in the e-Filing Portal. Prose parties providing an email address with their filings shall be registered to receive subsequent electronic notification.
  - a. Provided all required deposits and filing fees have been paid, the Clerk will scan the pro se party's documents and e-File them, listing the pro se party as the filer.

- b. The Clerk will notify the pro se party that all subsequent filings must be e-Filed, and, if applicable, that the party must provide an email address if they wish to receive electronic notifications and service.
- c. All subsequent filings not submitted through the e-Filing Portal will be returned to the pro se party, unfiled, and no such documents shall be considered filed until they are submitted through the e-Filing Portal.
- 2. At the Court's discretion, any attorney or pro se party may be excused from mandatory e-Filing. Leave to file other than through the e-Filing Portal may be granted only by the filing of a motion with the judge assigned to the case, specifically stating the reasons the attorney/party cannot comply with e-Filing procedures. The Court's expectation, however, is that leave will be granted rarely, and except under exceptional circumstances.

# C. Format of Documents Electronically Filed

- 1. Document Types.
  - a. All documents submitted for filing, with the exception of proposed orders and entries (or other documents requiring a judge's signature) shall be filed in Portable Document Format (PDF). Such documents may either be signed by hand and scanned-in or they may be signed electronically as set forth in this Rule.

## b.Proposed Orders/Entries.

- Proposed orders and entries (or other documents requiring a judge's signature) shall reference the specific motion to which they apply, and shall be filed in Microsoft Word document format, and shall not contain any mail merge fields or macros embedded in the documents.
- ii. Submitters who have need to file attachments to proposed entries will have the ability to submit PDF attachments in a separate document within the same filing. This document shall be entitled "Notice of Filing of Attachments to [Name of Entry]" and shall be filed concurrently with the motion and proposed order/entry. Any proposed order/entry requiring attachments shall include language that incorporates the attachments into the order/entry by reference.
- iii. The Clerk, through the e-Filing Portal, will electronically forward the proposed orders/entries and notices of attachments to the assigned judge or magistrate, who will either accept, reject or modify the proposed order/entry.

### 2. Signatures

a. **Attorney's/Submitter's Signature**. Any document submitted electronically with the Clerk that requires an attorney's or a submitter's signature (other than hand-signed documents scanned in PDF format) shall be signed with a conformed signature of "/s/ (name)." The correct format for an attorney's signature is as follows:

### /s/Attorney Name

## Attorney Name, Registration Number

The conformed signature on an electronically-submitted document, is deemed to constitute a legal signature on the document.

- b.**Multiple Signatures.** When a stipulation or other document requires two or more signatures:
  - i. The submitting party or attorney shall sign the stipulation or document himself or herself as follows: "/s/ John Smith."
  - ii. The submitting party or attorney shall then include an affirmation that the contents of the document are acceptable to all persons required to sign the document. The submitter shall indicate the agreement of all other counsel and/or parties at the appropriate place in the document, usually on the signature line.
  - iii. The submitting party or attorney shall then submit the document electronically, identifying all of the other signatories as follows: "/s/ Jane Doe, per written authorization, by John Smith," etc.
- c. **Third-Party Signatures.** A document containing the signature of a third party, who is not a party to the action (i.e., affidavit signed by a doctor, military affidavit signed by a staff member or company representative, etc.), shall be electronically submitted only as a hand-signed, scanned-in PDF document.
- D. Availability of e-Filing Acceptance of Documents
  - 1. Documents may be submitted to the Clerk for e-filing 24 hours per day, 7 days per week.
  - 2. Effective Date of Filing. Documents shall be considered filed upon submission.
  - 3. No document requiring a filing fee to be paid at the time of filing can be filed unless all fees are actually paid upon submission of the document.
- E. **Filing of Initial Pleadings.** When any complaint or third-party complaint is submitted for electronic filing, the filing party shall file a case designation sheet. Consistent with the Ohio Rules of Civil Procedure, the filing party shall also file instructions for service and the Clerk shall issue a summons and serve the complaint or third-party complaint according to such instructions. The Clerk shall produce paper copies of these initial pleadings and charge a fee, as stated in the Clerk's fee schedule, for production of service copies, which shall be assessed as costs.
- F. **Effect of Technical Error.** If a submission is not received by the Clerk due to an error caused by the hardware or software of either the Clerk or the submitting party, upon satisfactory proof and for good cause shown, the Court may enter an order permitting the document to be filed nunc pro tunc to the date the submitter intended the document to be filed. Ultimately, it shall be the submitting party's responsibility to ensure all documents are properly received, docketed, and served.